

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Song HU et al.

Art Unit: 1646

Serial No.: 09/903,582

Examiner: TBA

Filed: July 13, 2001

Atty. Docket: CL001274

**For: ISOLATED HUMAN SECRETED PROTEINS, NUCLEIC  
ACID MOLECULES ENCODING HUMAN SECRETED  
PROTEINS, AND USES THEREOF**

**Statement Regarding Duty to Disclose Information Material To Patentability Under  
37 CFR 1.56 (a) and (b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants hereby notify the US Patent and Trademark Office of the documents listed on the attached PTO Form SB/08A, which may be deemed relevant to the patentability of the claims of the above application. One copy of each of the listed documents is submitted herewith. The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application.

No fee is due for this submission. However, the Patent and Trademark Office is authorized to charge any necessary fees related to the processing of this application to Deposit Account No. 50-0970.

Respectfully submitted,  
CELERA GENOMICS

Date: October 23, 2003

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/903,582
		Filing Date	July 13, 2001
		First Named Inventor	Song HU et al.
		Art Unit	1646
		Examiner Name	TBA
		Attorney Docket Number	CL001274
Sheet	1	of	2

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 00/61754 A2	10-19-2000	Curagen Corporation Zymogenetics, Inc.		
		WO 01/32871 A2	5-10-2001			

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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Application Number				09/903,582	
Filing Date				July 13, 2001	
First Named Inventor				Song HU et al.	
Group Art Unit				1646	
Examiner Name				TBA	
Attorney Docket Number				CL001274	
Sheet	2	of	2		

[illegible]

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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**RECEIPT OF THE FOLLOWING IS ACKNOWLEDGED IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE:**

Atty Docket No.: CL001274

Song HU et al.

In re Appln. Serial No. 09/903,582

Title: ISOLATED HUMAN SECRETED

PROTEINS, NUCLEIC ACID MOLECULES

ENCODING HUMAN SECRETED

PROTEINS, AND USES THEREOF

Date: October 23, 2003

Due Date: November 19, 2003

☒ Statement Regarding Duty to Disclose Information Material to Patentability

☒ Under 37 CFR 1.56 (a) and (b)

☒ Information Disclosure Statement

☒ Cited References (2)

**BOX: PATENT APPLICATION**

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